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MEMC 2987 (00-2950)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Ng, et al.

Serial No. 09/970,404

Filed October 3, 2001

Confirmation No. 9398

For APPARATUS AND PROCESS FOR PRODUCING POLISHED
SEMICONDUCTOR WAFERS

January 3, 2002

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit copies of the references listed on

* the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

Respectfully submitted,

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number 09/970,404

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First Named Inventor Ng, et al.

Group Art Unit 2812

Examiner Name

Sheet

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of

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Attorney Docket No.

MEMC 2987 (00-2950)

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	1	5,720,849		Yokosuka et al.	02/24/1998
	2	5,849,139		Miyakawa et al.	12/15/1998

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
	3	EP	0 810 635 A1		Harris, et al.	12/03/1997	
	4	EP	0 868 977 A2		Hirooka	10/07/1998	
	5	JP	10-029156-A (Abstract)			02/03/1998	
	6	JP	10-275852-A (Abstract)		Hirooka	10/13/1998	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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